The PSE makes no representation on the accuracy, validity, correctness and completeness of the information stated in the respective PORs of listed companies. The PSE shall use the information contained in the POR submitted by the company in computing a company's weight in the index and this may be updated or adjusted consistent with the policy of the Exchange in managing the PSEi and sector indices.

# **Upson International Corp. UPSON**

PSE Disclosure Form POR-1 - Public Ownership Report Reference: Amended Rule on Minimum Public Ownership

Report Type	
Monthly Quarterly Others	
Report Date	Apr 3, 2023
Computation of Public O	wnership
Number of Issued Common Shares	3,125,001,300
Less: Number of Treasury Common Shares, if any	-
Number of Outstanding Common Shares	3,125,001,300

#### Less:

#### A. Directors

**Common Shares** 

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Lawrence O. Lee	383,332,935	1,000	383,333,935	12.27
Ricardo A. Lee	445,834,335	1,000	445,835,335	14.27
William Lim	356,665,665	1,000	356,666,665	11.41
Arlene Louisa T. Sy	-	1,100	1,100	0

Rolando O. Raval, Jr.	-	1,100	1,100	0
Marcos A. Legaspi	-	1,100	1,100	0
Anthony Thomas C. Roxas, Jr.	-	496,100	496,100	0.02
Raul M. Leopando	-	100	100	0
Jose Vicente C. Bengzon III	-	100	100	0
Chun Bing G. Uy	-	100	100	0
	1,185,832,935	502,700	1,186,335,635	37.97

### B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Arlene Louisa T. Sy (please refer to above shareholdings)	-	-	-	-
Rolando O. Raval, Jr. (please refer to above shareholdings)	-	-	-	-
Marcos A. Legaspi (please refer to above shareholdings)	-	-	-	-
Anthony Thomas C. Roxas, Jr. (please refer to above shareholdings)	-	-	-	-
Dennis F. Uy	-	1,000	1,000	0
Anita Lim	-	89,167,665	89,167,665	2.85
	0	89,168,665	89,168,665	2.85

# C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Jendres Holdings, Inc.	537,500,000	-	537,500,000	17.2
Unitrust Investments Corporation	312,500,000	-	312,500,000	10
Virdura Holdings, Inc.	312,500,000	-	312,500,000	10
Lawrence O. Lee (please refer to above shareholdings)	-	-	-	-
Ricardo A. Lee (please refer to above shareholdings)	-	-	-	-
William Lim (please refer to above shareholdings)	-	-	-	-
	1,162,500,000	0	1,162,500,000	37.2

#### D. Affiliates

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

### E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	_	-	-	-
	0	0	0	0

### F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	_	-	-	-
	0	0	0	0

# G. Employees

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares	
-	-	-	-	-	
	0	0	0	0	

# H. Lock-Up Shares

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Jendres Holdings, Inc. (please refer to above shareholdings)	-	-	-	-
Unitrust Investments Corporation (please refer to above shareholdings)	-	-	-	-
Virdura Holdings, Inc. (please refer to above shareholdings)	-	-	-	-
Lawrence O. Lee (please refer to above shareholdings)	-	-	-	-
Ricardo A. Lee (please refer to above shareholdings)	-	_	-	-
William Lim (please refer to above shareholdings)	-	-	-	-
	0	0	0	0

#### I. Others

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

Number of Listed Common Shares	3,125,001,300
Total Number of Non-Public Shares	2,438,004,300
Total Number of Shares Owned by the Public	686,997,000
Public Ownership Percentage	21.98

### **Other Relevant Information**

We are disclosing the Public Ownership Report of Upson International Corp. (the "Corporation") as of April 3, 2023, the effectivity date of the Corporation's listing on the Philippine Stock Exchange, Inc.'s main board.

### Filed on behalf by:

Name	Arlene Louisa Sy
Designation	Chief Executive Officer