

C02587-2023

## SECURITIES AND EXCHANGE COMMISSION

### SEC FORM 17-C

#### CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)

Apr 11, 2023

2. SEC Identification Number

AS95003836

3. BIR Tax Identification No.

004-780-008-000

4. Exact name of issuer as specified in its charter

Upton International Corp.

5. Province, country or other jurisdiction of incorporation

Metro Manila, Philippines

6. Industry Classification Code(SEC Use Only)

7. Address of principal office

Unit 2308, 23/F Capital House Tower 1, 9th Avenue corner 34th Street, Bonifacio Global  
City, Taguig City

Postal Code

1635

8. Issuer's telephone number, including area code

+632 8526 7152

9. Former name or former address, if changed since last report

-

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding	
Common Shares	3,125,001,300	

11. Indicate the item numbers reported herein

Please refer to the attached Letter to the Securities and Exchange Commission.

*The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.*

# Upson International Corp. UPSON

## PSE Disclosure Form 4-30 - Material Information/Transactions *References: SRC Rule 17 (SEC Form 17-C) and Sections 4.1 and 4.4 of the Revised Disclosure Rules*

### Subject of the Disclosure

Upson International Corp. Stabilization Activity Report

### Background/Description of the Disclosure

This is with reference to the Securities and Exchange Commission's approval letter dated March 24, 2023 in connection with the stabilization activities for the equity offering of Upson International Corp. ("UPSON") and the request to submit a weekly stabilization report.

We hereby disclose that First Metro Securities Brokerage Corporation, as Stabilization Agent of UPSON has purchased a total of 1,517,000 shares for stabilization purposes for the week ending April 07 2023. Kindly refer to the attached daily activity reports.

### Other Relevant Information

-

### Filed on behalf by:

<b>Name</b>	Darwin Mendoza
<b>Designation</b>	Compliance Officer

COVER SHEET

A	S	9	5	0	0	3	8	3	6
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S.E.C. Registration Number

U	P	S	O	N		I	N	T	E	R	N	A	T	I	O	N	A	L		C	O	R	P	.				
D	O	I	N	G		B	U	S	I	N	E	S	S		U	N	D	E	R		T	H	E		N	A	M	E
A	N	D		S	T	Y	L	E		O	F		O	C	T	A	G	O	N		C	O	M	P	U	T	E	R
S	U	P	E	R	S	T	O	R	E	;		M	I	C	R	O	V	A	L	L	E	Y						
C	O	M	P	U	T	E	R		S	U	P	E	R	S	T	O	R	E	;		G	A	D	G	E	T		
W	O	R	L	D	;		O	C	T	A	G	O	N		M	O	B	I	L	E	;		U	N	I	S	O	;
G	A	D	G	E	T		K	I	N	G	;		A	N	D		L	A	M	P		L	I	G	H	T		

(Company's Full Name)

U	N	I	T		2	3	0	8	,		2	3	/	F		C	A	P	I	T	A	L		H	O	U	S	E	
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T	A	G	U	I	G		C	I	T	Y																			

(Principal Address: No. Street City / Town / Province)

Dennis F. Uy

Contact Person

8526-7152

Company Telephone Number

12

Month

31

Day

Fiscal Year

17-C

FORM TYPE

05

Month

25

Day

Annual Meeting

Not applicable

Secondary License Type, If Applicable

MSRD

Dept. Requiring this Doc.

Not applicable

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

STAMPS

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. April 11, 2023  
Date of Report (Date of earliest event reported)
2. SEC Identification Number AS95003836    3. BIR Tax Identification No. 004-780-008-000
4. UPSON INTERNATIONAL CORP.  
doing business under the name and style of OCTAGON COMPUTER SUPERSTORE;  
MICROVALLEY COMPUTER SUPERSTORE; GADGET WORLD; OCTAGON MOBILE; UNISO; GADGET  
KING AND LAMP LIGHT  
Exact name of issuer as specified in its charter
5. Metro Manila, Philippines  (SEC Use Only)  
Province, country or other jurisdiction of    Industry Classification Code:  
incorporation
7. Unit 2308, 23/F Capital House Tower 1, 9<sup>th</sup> Avenue cor.  
34<sup>th</sup> Street, Bonifacio Global City, Taguig City 1635  
Address of principal office    Postal Code
8. (+63 2) 8526 7152  
Issuer's telephone number, including area code
9. Not applicable  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA  

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
<u>Common Shares</u>	<u>3,125,001,300</u>

11. Indicate the item numbers reported herein:

Please refer to the attached Letter to the Securities and Exchange Commission from First Metro Securities Brokerage Corporation, as the Stabilization Agent of Upson International Corp. ("UPSON"), with regard to UPSON's Stabilization Activity Report for the week ending April 7, 2023.

## SIGNATURES

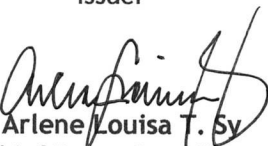
Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Upson International Corp.

Issuer

April 11, 2023

Date

  
Arlene Louisa T. Sy  
Chief Executive Officer  
and President



**First Metro Securities**  
Brokerage Corporation  
Metrobank Group

April 05, 2023

Director Vicente Graciano P. Felizmenio, Jr.  
Director, Markets and Securities Regulation Department  
Securities and Exchange Commission  
G/F Secretariat Building, PICC Complex  
Roxas Boulevard, Pasay City 1307

Subject: Upson International Corp. Stabilization Activity Report

Dear Director Felizmenio:

This is with reference to your approval letter dated March 24, 2023 in connection with the stabilization activities for the equity offering of Upson International Corp. and the request to submit a weekly stabilization report.

We hereby disclose to the Commission that First Metro Securities Brokerage Corporation, as Stabilization Agent has purchased a total of 1,517,000 shares for stabilization purposes for the week ending April 07 2023. Kindly refer to the attached daily activity reports submitted to the Issuer.

Please feel free to contact us should there be any questions.

Sincerely yours,

Ronald Emmanuel A. Co  
Head-Operations Department


Jervis C. Tinimbang  
Head-Institutional Equity Dealing

**DAILY REPORT TO ISSUER**

**FIRST METRO SECURITIES BROKERAGE CORPORATION**  
**Schedule of Stabilization Trades for Upson International Corp.**  
**APRIL 03, 2023**

DATE	SYMBOL	STOCK NAME	SIDE	QUANTITY/SHARES	PRICE
4/3/2023	UPSON	Upson International Corp.	BUY	200,000	2.39
4/3/2023	UPSON	Upson International Corp.	BUY	300,000	2.35
4/3/2023	UPSON	Upson International Corp.	BUY	1,000,000	2.30
4/3/2023	UPSON	Upson International Corp.	BUY	17,000	2.28
			TOTAL	1,517,000	

Prepared by :

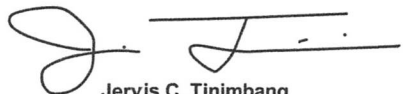
  
Bernie Babala  
Operations Officer

Checked by :

  
Jocelyn C. Castillo  
Head-Documentation and Cash Settlement

Reviewed by :

  
Ronald Emmanuel A. Co  
Head-Operations Department

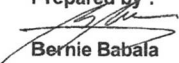
  
Jervis C. Tinimbang  
Head-Institutional Equity Dealing

DAILY REPORT TO ISSUER

FIRST METRO SECURITIES BROKERAGE CORPORATION  
Schedule of Stabilization Trades for Upson International Corp.  
APRIL 04, 2023

DATE	SYMBOL	STOCK NAME	SIDE	QUANTITY/SHARES	PRICE
		NOTHING TO REPORT			
			TOTAL	-	


Prepared by :

  
Bernie Babala  
Operations Officer

Checked by :

  
Jocelyn C. Castillo  
Head-Documentation and Cash Settlement

Reviewed by :

  
Ronald Emmanuel A. Co  
Head-Operations Department


  
Jervis C. Tinimbang  
Head-Institutional Equity Dealing




DAILY REPORT TO ISSUER


FIRST METRO SECURITIES BROKERAGE CORPORATION  
Schedule of Stabilization Trades for Upson International Corp.  
APRIL 05, 2023

DATE	SYMBOL	STOCK NAME	SIDE	QUANTITY/SHARES	PRICE
		NOTHING TO REPORT			
			TOTAL	-	

Prepared by :  
  
Angelo D. Morante  
Operations Associate

Checked by :  
  
Jocelyn C. Castillo  
Head-Documentation and Cash Settlement

Reviewed by :  
  
Ronald Emmanuel A. Co  
Head-Operations Department

  
Jervis C. Tinimbang  
Head-Institutional Equity Dealing