

# SECURITIES AND EXCHANGE COMMISSION

## SEC FORM 17-C

### CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)  
Apr 20, 2023
2. SEC Identification Number  
AS95003836
3. BIR Tax Identification No.  
004-780-008-000
4. Exact name of issuer as specified in its charter  
Upson International Corp.
5. Province, country or other jurisdiction of incorporation  
Metro Manila, Philippines
6. Industry Classification Code(SEC Use Only)
7. Address of principal office  
Unit 2308, 23/F Capital House Tower 1, 9th Avenue corner 34th Street, Bonifacio Global City, Taguig City  
Postal Code  
1635
8. Issuer's telephone number, including area code  
+632 8526 7152
9. Former name or former address, if changed since last report  
-
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding |
|---------------------|---|
| Common Shares       | 3,125,001,300   |

11. Indicate the item numbers reported herein  
Please refer to the attached Letter to the Securities and Exchange Commission.

*The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.*

# Upson International Corp.

## UPSON

**PSE Disclosure Form 4-30 - Material Information/Transactions**  
***References: SRC Rule 17 (SEC Form 17-C) and***  
***Sections 4.1 and 4.4 of the Revised Disclosure Rules***

**Subject of the Disclosure**

Upson International Corp. Stabilization Activity Report

**Background/Description of the Disclosure**

This is with reference to the Securities and Exchange Commission's approval letter dated March 24, 2023 in connection with the stabilization activities for the equity offering of Upson International Corp. ("UPSON") and the request to submit a weekly stabilization report.

We hereby disclose that First Metro Securities Brokerage Corporation, as the Stabilization Agent of UPSON has purchased a total of 1,087,000 shares for stabilization purposes for the week ending April 21, 2023. Kindly refer to the attached daily activity reports.

**Other Relevant Information**

-

**Filed on behalf by:**

|                    |                         |
|--------------------|-------------------------|
| <b>Name</b>        | Arlene Louisa Sy        |
| <b>Designation</b> | Chief Executive Officer |

COVER SHEET

|   |   |   |   |   |   |   |   |   |   |  |
|---|---|---|---|---|---|---|---|---|---|--|
| A | S | 9 | 5 | 0 | 0 | 3 | 8 | 3 | 6 |  |
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S.E.C. Registration Number

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
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| D | O | I | N | G |   | B | U | S | I | N | E | S | S |   | U | N | D | E | R |   | T | H | E |   | N | A | M | E |
| A | N | D |   | S | T | Y | L | E |   | O | F |   | O | C | T | A | G | O | N |   | C | O | M | P | U | T | E | R |
| S | U | P | E | R | S | T | O | R | E | ; |   | M | I | C | R | O | V | A | L | L | E | Y |   |   |   |   |   |   |
| C | O | M | P | U | T | E | R |   | S | U | P | E | R | S | T | O | R | E | ; |   | G | A | D | G | E | T |   |   |
| W | O | R | L | D | ; |   | O | C | T | A | G | O | N |   | M | O | B | I | L | E | ; |   | U | N | I | S | O | ; |
| G | A | D | G | E | T |   | K | I | N | G | ; |   | A | N | D |   | L | A | M | P |   | L | I | G | H | T |   |   |

(Company's Full Name)

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
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| S | T | R | E | E | T | , |   | B | O | N | I | F | A | C | I | O |   | G | L | O | B | A | L |   | C | I | T | Y | , |
| T | A | G | U | I | G |   | C | I | T | Y |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |

(Principal Address: No. Street City / Town / Province)

Dennis F. Uy

Contact Person

8526-7152

Company Telephone Number

|   |   |  |   |   |
|---|---|--|---|---|
| 1 | 2 |  | 3 | 1 |
|---|---|--|---|---|

Month Day  
Fiscal Year

17-C

FORM TYPE

|   |   |  |   |   |
|---|---|--|---|---|
| 0 | 5 |  | 2 | 5 |
|---|---|--|---|---|

Month Day  
Annual Meeting

Not applicable

Secondary License Type, If Applicable

|   |   |   |   |  |
|---|---|---|---|--|
| M | S | R | D |  |
|---|---|---|---|--|

Dept. Requiring this Doc.

Not applicable

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|

Domestic Foreign

To be accomplished by SEC Personnel concerned

|  |  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |  |  |
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File Number

LCU

|  |  |  |  |  |  |  |  |  |  |  |
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|--|--|--|--|--|--|--|--|--|--|--|

Document I.D.

Cashier

STAMPS

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. April 20, 2023  
Date of Report (Date of earliest event reported)
2. SEC Identification Number AS95003836    3. BIR Tax Identification No. 004-780-008-000
4. UPSON INTERNATIONAL CORP.  
doing business under the name and style of OCTAGON COMPUTER SUPERSTORE;  
MICROVALLEY COMPUTER SUPERSTORE; GADGET WORLD; OCTAGON MOBILE; UNISO; GADGET  
KING AND LAMP LIGHT  
Exact name of issuer as specified in its charter
5. Metro Manila, Philippines  (SEC Use Only)  
Province, country or other jurisdiction of    Industry Classification Code:  
incorporation
7. Unit 2308, 23/F Capital House Tower 1, 9<sup>th</sup> Avenue cor.  
34<sup>th</sup> Street, Bonifacio Global City, Taguig City 1635  
Address of principal office    Postal Code
8. (+63 2) 8526 7152  
Issuer's telephone number, including area code
9. Not applicable  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA  

| Title of Each Class  | Number of Shares of Common Stock<br>Outstanding and Amount of Debt Outstanding |
|----------------------|--|
| <u>Common Shares</u> | <u>3,125,001,300</u>   |

11. Indicate the item numbers reported herein:

Please refer to the attached Letter to the Securities and Exchange Commission.

SIGNATURES

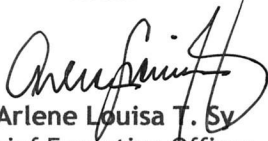
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## SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Upson International Corp.

Issuer

  
Arlene Louisa T. Sy  
Chief Executive Officer  
and President

April 20, 2023

Date

April 20, 2023

Director Vicente Graciano P. Felizmenio, Jr.  
Director, Markets and Securities Regulation Department  
Securities and Exchange Commission  
G/F Secretariat Building, PICC Complex  
Roxas Boulevard, Pasay City 1307

Subject: Upson International Corp. Stabilization Activity Report


Dear Director Felizmenio:

This is with reference to your approval letter dated March 24, 2023 in connection with the stabilization activities for the equity offering of Upson International Corp. and the request to submit a weekly stabilization report.

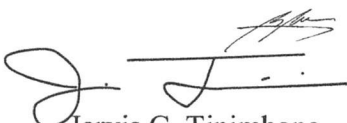
We hereby disclose to the Commission that First Metro Securities Brokerage Corporation, as Stabilization Agent has purchased a total of 1,087,000 shares for stabilization purposes for the week ending April 21, 2023. Kindly refer to the attached daily activity reports submitted to the Issuer.

Please feel free to contact us should there be any questions.


Sincerely yours,



Ronald Emmanuel A. Co  
Head-Operations Department



Jervis C. Tinimbang  
Head-Institutional Equity Dealing



**DAILY REPORT TO ISSUER**

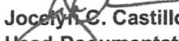
**FIRST METRO SECURITIES BROKERAGE CORPORATION**  
**Schedule of Stabilization Trades for Upson International Corp.**  
**APRIL 17, 2023**

| DATE      | SYMBOL | STOCK NAME                | SIDE  | QUANTITY/SHARES | PRICE |
|-----------|--------|---------------------------|-------|-----------------|-------|
| 4/17/2023 | UPSON  | Upson International Corp. | BUY   | 658,000         | 2.39  |
|           |        |                           |       |                 |       |
|           |        |                           |       |                 |       |
|           |        |                           |       |                 |       |
|           |        |                           |       |                 |       |
|           |        |                           |       |                 |       |
|           |        |                           |       |                 |       |
|           |        |                           |       |                 |       |
|           |        |                           | TOTAL | 658,000         |       |

Prepared by :

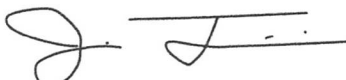
  
Bernie Babala  
Operations Officer

Checked by :

  
Jocelyn C. Castillo  
Head-Documents and Cash Settlement

Reviewed by :

  
Ronald Emmanuel A. Co  
Head-Operations Department

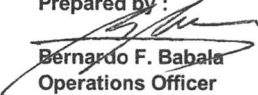
  
Jervis C. Tinimbang  
Head-Institutional Equity Dealing

**DAILY REPORT TO ISSUER**

**FIRST METRO SECURITIES BROKERAGE CORPORATION**  
**Schedule of Stabilization Trades for Upson International Corp.**  
**APRIL 18, 2023**

| DATE | SYMBOL | STOCK NAME        | SIDE  | QUANTITY/SHARES | PRICE |
|------|--------|-------------------|-------|-----------------|-------|
|      |        |                   |       |                 |       |
|      |        |                   |       |                 |       |
|      |        |                   |       |                 |       |
|      |        | NOTHING TO REPORT |       |                 |       |
|      |        |                   |       |                 |       |
|      |        |                   |       |                 |       |
|      |        |                   |       |                 |       |
|      |        |                   |       |                 |       |
|      |        |                   |       |                 |       |
|      |        |                   | TOTAL | -               |       |

Prepared by :

  
Bernardo F. Babala  
Operations Officer

Checked by :

  
Jocelyn C. Castillo  
Head-Documentation and Cash Settlement

Reviewed by :

  
Ronald Emmanuel A. Co  
Head-Operations Department

  
Jervis C. Tinimbang  
Head-Institutional Equity Dealing

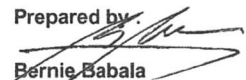


**DAILY REPORT TO ISSUER**

**FIRST METRO SECURITIES BROKERAGE CORPORATION**  
**Schedule of Stabilization Trades for Upson International Corp.**  
**APRIL 19, 2023**

| DATE      | SYMBOL | STOCK NAME                | SIDE         | QUANTITY/SHARES | PRICE |
|-----------|--------|---------------------------|--------------|-----------------|-------|
| 4/19/2023 | UPSON  | Upson International Corp. | BUY          | 418,000         | 2.39  |
|           |        |                           |              |                 |       |
|           |        |                           |              |                 |       |
|           |        |                           |              |                 |       |
|           |        |                           |              |                 |       |
|           |        |                           |              |                 |       |
|           |        |                           |              |                 |       |
|           |        |                           |              |                 |       |
|           |        |                           | <b>TOTAL</b> | <b>418,000</b>  |       |


Prepared by:

  
Bernie Babala  
Operations Officer

Checked by :

  
Jocelyn C. Castillo  
Head-Documents and Cash Settlement

Reviewed by:

  
Ronald Emmanuel A. Co  
Head-Operations Department

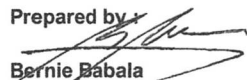
  
Jervis C. Tinimbang  
Head-Institutional Equity Dealing

**DAILY REPORT TO ISSUER**

**FIRST METRO SECURITIES BROKERAGE CORPORATION**  
**Schedule of Stabilization Trades for Upson International Corp.**  
**APRIL 20, 2023**

| DATE      | SYMBOL | STOCK NAME                | SIDE  | QUANTITY/SHARES | PRICE |
|-----------|--------|---------------------------|-------|-----------------|-------|
| 4/20/2023 | UPSON  | Upson International Corp. | BUY   | 11,000          | 2.38  |
|           |        |                           |       |                 |       |
|           |        |                           |       |                 |       |
|           |        |                           |       |                 |       |
|           |        |                           |       |                 |       |
|           |        |                           |       |                 |       |
|           |        |                           |       |                 |       |
|           |        |                           |       |                 |       |
|           |        |                           | TOTAL | 11,000          |       |

Prepared by :

  
Bernie Babala  
Operations Officer

Checked by :

  
Jocelyn C. Castillo  
Head-Documentation and Cash Settlement

Reviewed by :

  
Ronald Emmanuel A. Co  
Head-Operations Department

  
Jervis C. Tinimbang  
Head-Institutional Equity Dealing